

AKIMA-DTSV
FACSIMILE TRANSMITTAL LETTERPCT
DTS Rec'd PCT/PTO 14 MAR 2005
15 MAR 2005

PAGE 1 OF 5

FILE COPY

DATE: March 14, 2005

TO: Initial Patent Examination's Filing Receipt Corrections

RE: Applicants : Yoshinori Katoh et al.
Serial No. 10/506,449
Filing Date: September 1, 2004
Our Ref: 576P068

FAX NO: (703) 746-9195

FROM: Kevin S. Lemack
Registration No. 32,579
Nields & Lemack
176 E. Main Street
Westboro, MA 01581
TEL: (508) 898-1818
FAX: (508) 898-2020

MESSAGE:

Pursuant to the mandate of the filing receipt sent by the Patent and Trademark Office in the above case, a verification of the accuracy of the data presented thereon has revealed the following error(s):

The filing receipt incorrectly listed the title. Please correct the following:

"Phthalocyanine compound, water-base ink composition and colored material"


should read

-- Phthalocyanine compounds, water-based ink compositions and colored products --

Please make the appropriate corrections. A photocopy of the filing receipt with corrections and a copy of the executed Declaration are enclosed herewith.

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.



Kevin S. Lemack

March 14, 2005

Date



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FILE FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/506,449	09/01/2004	1755	1458	576P068	1	25	4

42754
NIELDS & LEMACK
176 EAST MAIN STREET, SUITE 7
WESTBORO, MA 01581

CONFIRMATION NO. 9975

FILING RECEIPT



OC000000015133795

Date Mailed: 02/14/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Yoshinori Katoh, Saitama, JAPAN;
Isao Yamaguchi, Chiba, JAPAN;

Power of Attorney:

Kevin Lemack--32579

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/02559 03/05/2003

Foreign Applications

JAPAN 2002-62281 03/07/2002

Projected Publication Date: 05/19/2005

Non-Publication Request: No

Early Publication Request: No

Title

Phthalocyanine compound, water-base ink composition and colored ~~material~~ ^{Products}

Preliminary Class

106

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.


NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

03/14/2005 15:26 5088982020

NLDLAW

PAGE 04

Please type a plus sign (+) inside this box → 

PTO/SB/01 (10-00)

Approved for use through 10/31/2002. OMB 0631-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing OR <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Attorney Docket Number	576P068
	First Named Inventor	Yoshinori Katoh
	COMPLETE IF KNOWN	
	Application Number	/
	Filing Date	
	Group Art Unit	
	Examiner Name	

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PHTHALOCYANINE COMPOUNDS, WATER-BASED INK COMPOSITIONS
AND COLORED PRODUCTS

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY). 03/05/2003

as United States Application Number or PCT International

(if applicable).

Application Number PCT/JP03/02559 and was amended on (MM/DD/YYYY)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
2002-62281	JAPAN	03/07/2002	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	
		<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

(Page 1 of 2)

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

03/14/2005 15:26 5088982020

NLDLAW

PAGE 05

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
 Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Approved for use through 10/31/2002, OMB 0705-0002
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☒ Customer Number or Bar Code Label 42754 OR ☒ Correspondence address below

Name Kevin S. Lemack

Address Niels & Lemack

Address 176 E. Main Street - Suite 8

City Westboro State MA ZIP 01581

Country U.S.A. Telephone (508) 898-1818 Fax (508) 898-2020

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name YOSHINORI
(first and middle [if any])

Family Name KATOH
or Surname

Inventor's
Signature

Yoshinori Katoh

Date MAY 24, 2004

Residence: City Saitama State Country JAPAN Citizenship JP

Mailing Address 2-1639-1, Nisshin-cho, Kita-ku, Saitama-shi, Saitama 331-0823 JAPAN

Mailing Address

City Saitama State ZIP 331-0823 Country JAPAN

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name ISAO
(first and middle [if any])

Family Name YAMAGUCHI
or Surname

Inventor's
Signature

Isao Yamaguchi

Date MAY 24, 2004

Residence: City Chiba State Country JAPAN Citizenship JP

Mailing Address 1-19-4, Nishimatsugaoka, Nagareyama-shi, Chiba 270-0142 JAPAN

Mailing Address

City Chiba State ZIP 270-0142 Country JAPAN

☐ Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

(Page 2 of 2)